

Customer No.: 07278

File: 20107/1200838-US1

IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Earl Rotman, et al.

Confirmation Number: 3328

Serial No.: 10/709,329

Group Art Unit: 3628

Filed: April 28, 2004

Examiner: Nguyen, Nga B

For: SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

FILING RECEIPT STATUS REQUEST

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

To date, we have not received a filing receipt for the above-identified patent application. If the receipt has already been sent, please forward a copy for our file. If the receipt has not been sent, please let us know when we can expect to receive it.

Respectfully submitted,

Dated: October 26, 2004

David Leason
Reg. No. 36,195
Attorney for Applicant(s)

DARBY & DARBY P.C.
Post Office Box 5257
New York, NY 10150-5257
212-527-7700